

10/591764

IAP9 Rec'd PCT/PTO 01 SEP 2006

Docket No. 295157US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Itsuo SAKAKIBARA

SERIAL NO: New US PCT Application Based on PCT/JP05/03822

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD OF PERMANENT WAVE TREATMENT

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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SHEET 1 OF 1

Form PTO 1449 (Modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT			ATTY DOCKET NO. 295157US0PCT			SERIAL NO. New US PCT Application Based on PCT/JP05/03822		
			APPLICANT Itsuo SAKAKIBARA					
			FILING DATE Herewith			GROUP		
			<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION			
					YES	NO		
	AM	2000 256146	09-19-00	JP (with English abstract)			NO	
	AN	2001 72557	03-21-01	JP (with English abstract)			NO	
	AO	2003 159115	06-03-03	JP (with English abstract)			NO	
	AP	2000 37222	02-08-00	JP (with English abstract)			NO	
	AQ	2000 139545	05-23-00	JP (with English abstract)			NO	
	AR	2001 299432	10-30-01	JP (with English abstract)			NO	
	AS	09 132515	05-20-97	JP (with English abstract and partial English translation)			NO	
	AT	00 64298	11-02-00	WO (with English abstract)			NO	
	AU	2002 12522	01-15-02	JP (with English abstract)			NO	
	AV							
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
	AW							
	AX							
	AY							
	AZ				<input type="checkbox"/> Additional References sheet(s) attached			
Examiner					Date Considered			

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Itsuo SAKAKIBARA  
Docket No. 295157US0PCT

**STATEMENT OF RELEVANCY**

- 1) References AM-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) Reference AU have been cited in the corresponding International Preliminary Report on Patentability. A copy of this reference is being submitted herewith.
- 3) References AS-AT are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References [redacted] are additional prior art known to Applicant. A copy of these references is being submitted herewith.